



**DEPARTMENT OF THE TREASURY**  
Office of the Comptroller of the Currency

**FEDERAL RESERVE SYSTEM**

**FEDERAL DEPOSIT INSURANCE CORPORATION**

**Proposed Agency Information Collection Activities; Comment Request**

**AGENCIES:** Office of the Comptroller of the Currency (OCC), Treasury; Board of Governors of the Federal Reserve System (Board); and Federal Deposit Insurance Corporation (FDIC).

**ACTION:** Joint notice and request for comment.

**SUMMARY:** In accordance with the requirements of the Paperwork Reduction Act (PRA) of 1995 (44 U.S.C. chapter 35), the OCC, the Board, and the FDIC (collectively, the agencies) may not conduct or sponsor, and the respondent is not required to respond to, an information collection unless it displays a currently valid Office of Management and Budget (OMB) control number. The Federal Financial Institutions Examination Council (FFIEC), of which the agencies are members, has approved the agencies' publication for public comment of a proposal to extend, with revision, the Foreign Branch Report of Condition (FFIEC 030 and FFIEC 030S), which is a currently approved information collection for each agency. The proposed panel changes would be effective for the FFIEC 030 and FFIEC 030S reports as of the December 31, 2012, report date. At the end of the comment period, the comments and recommendations received will be analyzed to determine the extent to which the FFIEC and the agencies should modify the proposed revisions prior to giving final approval. The agencies will then submit the proposed revisions to OMB for review and approval.

**DATES:** Comments must be submitted on or before [INSERT DATE 60 DAYS FROM DATE OF PUBLICATION IN THE FEDERAL REGISTER].

**ADDRESSES:** Interested parties are invited to submit written comments to any or all of the agencies. All comments should refer to the OMB control number and will be shared among the agencies.

**OCC:** You should direct all written comments to: Communications Division, Office of the Comptroller of the Currency, Public Information Room, Mailstop 2-3, Attention: 1557-0099, 250 E Street, SW., Washington, DC 20219. In addition, comments may be sent by fax to (202) 874-5274, or by electronic mail to [regs.comments@occ.treas.gov](mailto:regs.comments@occ.treas.gov). You may personally inspect and photocopy the comments at the OCC, 250 E Street, SW., Washington, DC 20219. For security reasons, the OCC requires that visitors make an appointment to inspect comments. You may do so by calling (202) 874-4700. Upon arrival, visitors will be required to present valid government-issued photo identification and submit to security screening in order to inspect and photocopy comments.

**Board:** You may submit comments, identified by FFIEC 030 or FFIEC 030S, by any of the following methods:

- Agency Web Site: [www.federalreserve.gov](http://www.federalreserve.gov). Follow the instructions for submitting comments on the [www.federalreserve.gov/generalinfo/foia/ProposedRegs.cfm](http://www.federalreserve.gov/generalinfo/foia/ProposedRegs.cfm).
- Federal eRulemaking Portal: [www.regulations.gov](http://www.regulations.gov). Follow the instructions for submitting comments.
- E-mail: [regs.comments@federalreserve.gov](mailto:regs.comments@federalreserve.gov). Include the OMB control number in the subject line of the message.

- FAX: (202) 452-3819 or (202) 452-3102.
- Mail: Jennifer J. Johnson, Secretary, Board of Governors of the Federal Reserve System, 20<sup>th</sup> Street and Constitution Avenue, NW., Washington, DC 20551.

All public comments are available from the Board's web site at

[www.federalreserve.gov/generalinfo/foia/ProposedRegs.cfm](http://www.federalreserve.gov/generalinfo/foia/ProposedRegs.cfm) as submitted, unless modified for technical reasons. Accordingly, your comments will not be edited to remove any identifying or contact information. Public comments may also be viewed electronically or in paper in Room MP-500 of the Board's Martin Building (20<sup>th</sup> and C Streets, NW.) between 9:00 a.m. and 5:00 p.m. on weekdays.

**FDIC:** You may submit comments, which should refer to "Foreign Branch Report of Condition, 3064-0011," by any of the following methods:

- Agency Web Site: [www.FDIC.gov/regulations/laws/federal/notices.html](http://www.FDIC.gov/regulations/laws/federal/notices.html).
- E-mail: [comments@FDIC.gov](mailto:comments@FDIC.gov). Include "Foreign Branch Report of Condition, 3064-0011" in the subject line of the message.
- Mail: Gary A. Kuiper, (202) 898-3877, Counsel, Attn: Comments, Room NYA-5046, Federal Deposit Insurance Corporation, 550 17<sup>th</sup> Street, NW., Washington, DC 20429.
- Hand Delivery: Comments may be hand delivered to the guard station at the rear of the 550 17<sup>th</sup> Street Building (located on F Street) on business days between 7:00 a.m. and 5:00 p.m.

Public Inspection: All comments received will be posted without change to

[www.fdic.gov/regulations/laws/federal/notices/html](http://www.fdic.gov/regulations/laws/federal/notices/html) including any personal information provided. Comments may be inspected at the FDIC Public Information Center, Room E-

1002, 3502 North Fairfax Drive, Arlington, VA 22226, between 9:00 a.m. and 5:00 p.m. on business days.

Additionally, commenters may send a copy of their comments to the OMB desk officer for the agencies by mail to the Office of Information and Regulatory Affairs, U.S. Office of Management and Budget, New Executive Office Building, Room 10235, 725 17<sup>th</sup> Street, NW., Washington, DC 20503 or by fax to (202) 395-6974.

**INFORMATION CONTACT:** For further information about the revisions discussed in this notice, please contact any of the agency clearance officers whose names appear below. In addition, copies of the report forms can be obtained at the FFIEC's web site ([http://www.ffiec.gov/ffiec\\_report\\_forms.htm](http://www.ffiec.gov/ffiec_report_forms.htm)).

**OCC:** Mary H. Gottlieb, OCC Clearance Officer, (202) 874-5090, Legislative and Regulatory Activities Division, Office of the Comptroller of the Currency, 250 E Street, SW., Washington, DC 20219.

**Board:** Cynthia Ayouch, Federal Reserve Board Clearance Officer, (202) 452-3829, Division of Research and Statistics, Board of Governors of the Federal Reserve System, 20<sup>th</sup> and C Streets, NW., Washington, DC 20551. Telecommunications Device for the Deaf (TDD) users may call (202) 263-4869.

**FDIC:** Gary A. Kuiper, Counsel, (202) 898-3877, Legal Division, Federal Deposit Insurance Corporation, 550 17<sup>th</sup> Street, NW., Washington, DC 20429.

**SUPPLEMENTARY INFORMATION:**

**Proposal to extend for three years, with revision, the following currently approved collection of information:**

*Report Title:* Foreign Branch Report of Condition

*Form Numbers:* FFIEC 030 and FFIEC 030S

*Frequency of Response:* Annually, and quarterly for significant branches

*Affected Public:* Business or other for profit

**OCC:**

*OMB Number:* 1557-0099

*Estimated Number of Respondents:* 123 annual branch respondents (FFIEC 030)  
310 quarterly branch respondents (FFIEC 030)  
34 annual branch respondents (FFIEC 030S)

*Estimated Average Time per Response:* 3.4 burden hours (FFIEC 030)  
0.5 burden hours (FFIEC 030S)

*Estimated Total Annual Burden:* 4,651 burden hours

**Board:**

*OMB Number:* 7100-0071

*Estimated Number of Respondents:* 22 annual branch respondents (FFIEC 030)  
24 quarterly branch respondents (FFIEC 030)  
14 annual branch respondents (FFIEC 030S)

*Estimated Average Time per Response:* 3.4 burden hours (FFIEC 030)  
0.5 burden hours (FFIEC 030S)

*Estimated Total Annual Burden:* 408 burden hours

**FDIC:**

*OMB Number:* 3064-0011

*Estimated Number of Respondents:* 12 annual respondents (FFIEC 030)  
3 quarterly respondents (FFIEC 030)  
11 annual respondents (FFIEC 030S)

*Estimated Average Time per Response:* 3.4 burden hours (FFIEC 030)  
0.5 burden hours (FFIEC 030S)

*Estimated Total Annual Burden:* 87 burden hours

**General Description of Reports**

This information collection is mandatory: 12 U.S.C. 321, 324, and 602 (Board); 12 U.S.C. 602 (OCC); and 12 U.S.C. 1828 (FDIC). This information collection is given confidential treatment pursuant to 5 U.S.C. 552(b)(8).

**Abstract**

The FFIEC 030 contains asset and liability information for foreign branches of insured U.S. banks and is required for regulatory and supervisory purposes. The information is used to analyze the foreign operations of U.S. banks. All foreign branches of U.S. banks regardless of charter type, file this report with the appropriate Federal Reserve District Bank. The Federal Reserve collects this information on behalf of the U.S. bank's primary federal bank regulatory agency. The FFIEC 030S contains five data items that branches with total assets between \$50 million and \$250 million file on an annual basis in lieu of the FFIEC 030 reporting form.

On July 21, 2011, supervisory responsibility for federal and state-chartered savings associations was transferred from the former Office of Thrift Supervision to the OCC and the FDIC, respectively, pursuant to Title III of the Dodd-Frank Wall Street

Reform and Consumer Protection Act, Public Law 111-203. Accordingly, the Foreign Branch Report of Condition would be applicable to foreign branches, if any, of insured U.S. savings associations beginning as of the December 31, 2012, report date. No other changes are proposed to the FFIEC 030 or FFIEC 030S reporting forms or instructions.

### **Request for Comment**

Public comment is requested on all aspects of this joint notice. Comments are invited on:

- a. Whether the information collection is necessary for the proper performance of the agencies' functions, including whether the information has practical utility;
- b. The accuracy of the agencies' estimates of the burden of the information collection, including the validity of the methodology and assumptions used;
- c. Ways to enhance the quality, utility, and clarity of the information to be collected;
- d. Ways to minimize the burden of the information collection on respondents, including through the use of automated collection techniques or other forms of information technology; and
- e. Estimates of capital or start up costs and costs of operation, maintenance, and purchase of services to provide the requested information.

Comments submitted in response to this notice will be shared among the agencies. All comments will become a matter of public record.

Subject: FFIEC 030 and FFIEC 030S

Dated: July 18, 2012

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**Michele Meyer,**  
*Assistant Director, Legislative and Regulatory Activities Division,  
Office of the Comptroller of the Currency.*



Subject: FFIEC 030 and FFIEC 030S

Board of Governors of the Federal Reserve System, July 24, 2012.

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Robert deV Frierson  
Deputy Secretary of the Board.

Subject: FFIEC 030 and FFIEC 030S

Dated at Washington, D.C., this 18 day of July, 2012.

FEDERAL DEPOSIT INSURANCE CORPORATION

**Robert E. Feldman,**  
Executive Secretary.

**Billing Codes**

OCC: 4810-33-P 1/3

Board: 6210-01-P 1/3

FDIC: 6714-01-P 1/3

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